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# Chapter 12

## *Transmission Control Protocol (TCP) – Part Two*

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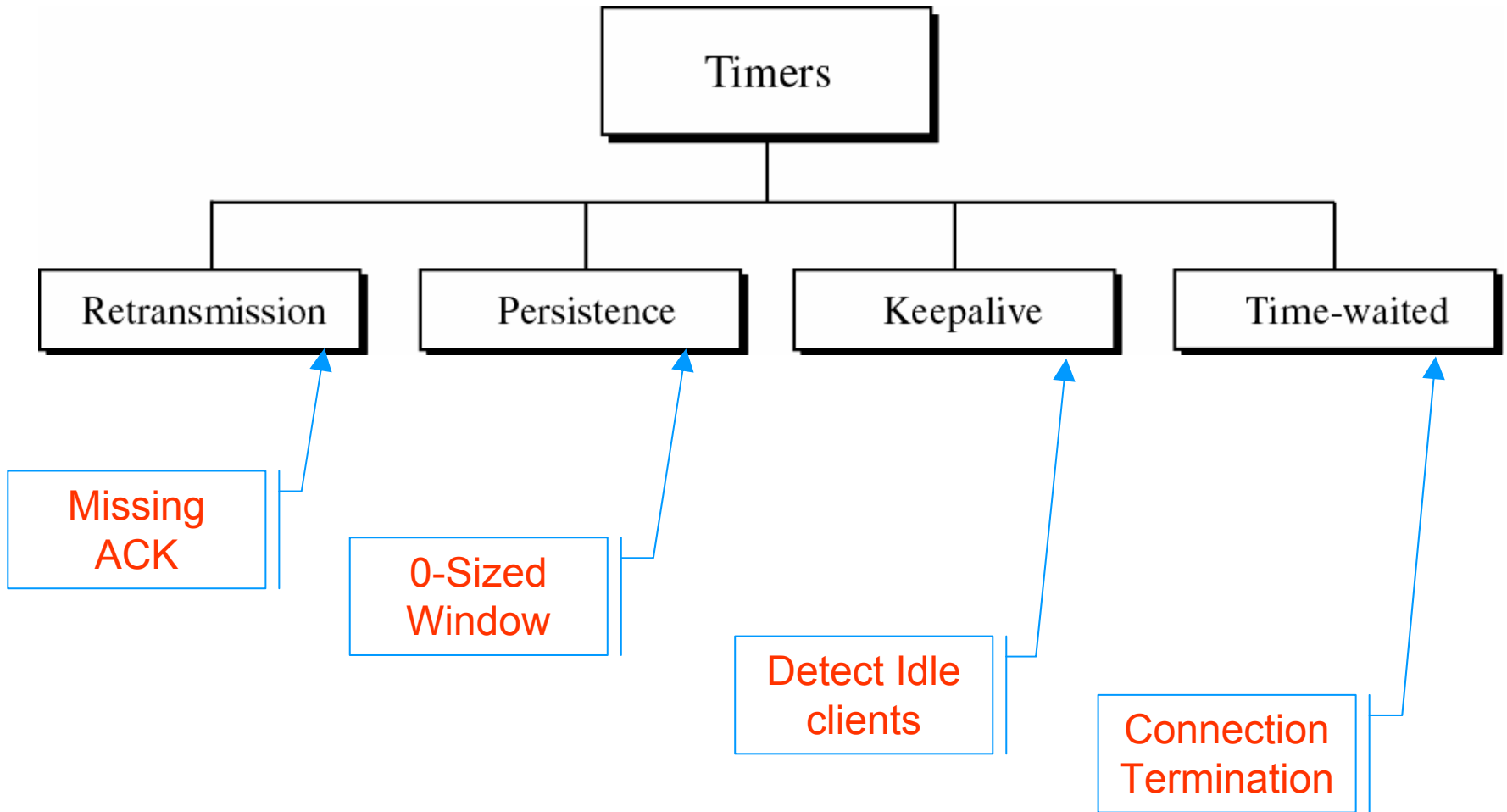
## *Part One*

1. Process-to-process Communication
2. TCP Services
3. Numbering Bytes
4. Flow Control
5. Silly Window Syndrome
6. Error Control
7. TCP Timers

## *Part Two*

7. Retransmission Timer
8. Congestion Control
9. Segment
10. Options
11. Checksum
12. Connection
13. State Transition Diagram
14. TCP Operation
15. TCP Package

## 12.7 TCP Timers



## 12.7.1 Retransmission Timer

- How long to wait for an ACK of a previously sent segment before retransmission.
- Depends on distance and network traffic density.
  - Retransmission time should be *dynamic*.
  - Retransmission time =  $2 \times \text{RTT}$
- Dynamic Calculation of RTT:
  - Use a timestamp TCP option (discussed later), or
    - a) Actually measure RTT of first two segments of a connection
    - b)  $\text{RTT}_{\text{new estimate}} = \alpha \times \text{RTT}_{\text{old estimate}} + (1 - \alpha) \times \text{RTT}_{\text{actual}}$
    - c) Typically,  $\alpha = 0.90$
    - d) Do NOT consider retransmitted segments into the above calculation of RTT

## 12.8 Congestion Control

- Congestion occurs when some routers along the path run out of buffers and drop packets.
- Sending TCP assumes that a missing ACK is due to congestion.
  - Retransmission will only aggravate the congestion
- A *Congestion Window* (CongWin) is used to control number of segments transmitted simultaneously (i.e. before waiting for an ACK)
  
- **Sender Window = Min ( *RecvWin* , *CongWin* )**
  
- During connection establishment, each party specifies its maximum Receiving Window (*RecvWinMax*), and the **Maximum Segment Size** (*MSS*) it can receive.

# The (Slow Start, Additive Increase, Multiplicative Decrease) Cycle

Congestion window size  
(in segments)

*Initially,*

**CongWin** = Receiver's MSS = N

**Threshold** =  $\frac{1}{2}$  RecvWinMax

**Slow:**

**CongWin** =  $2 \times$  CongWin

**Additive:**

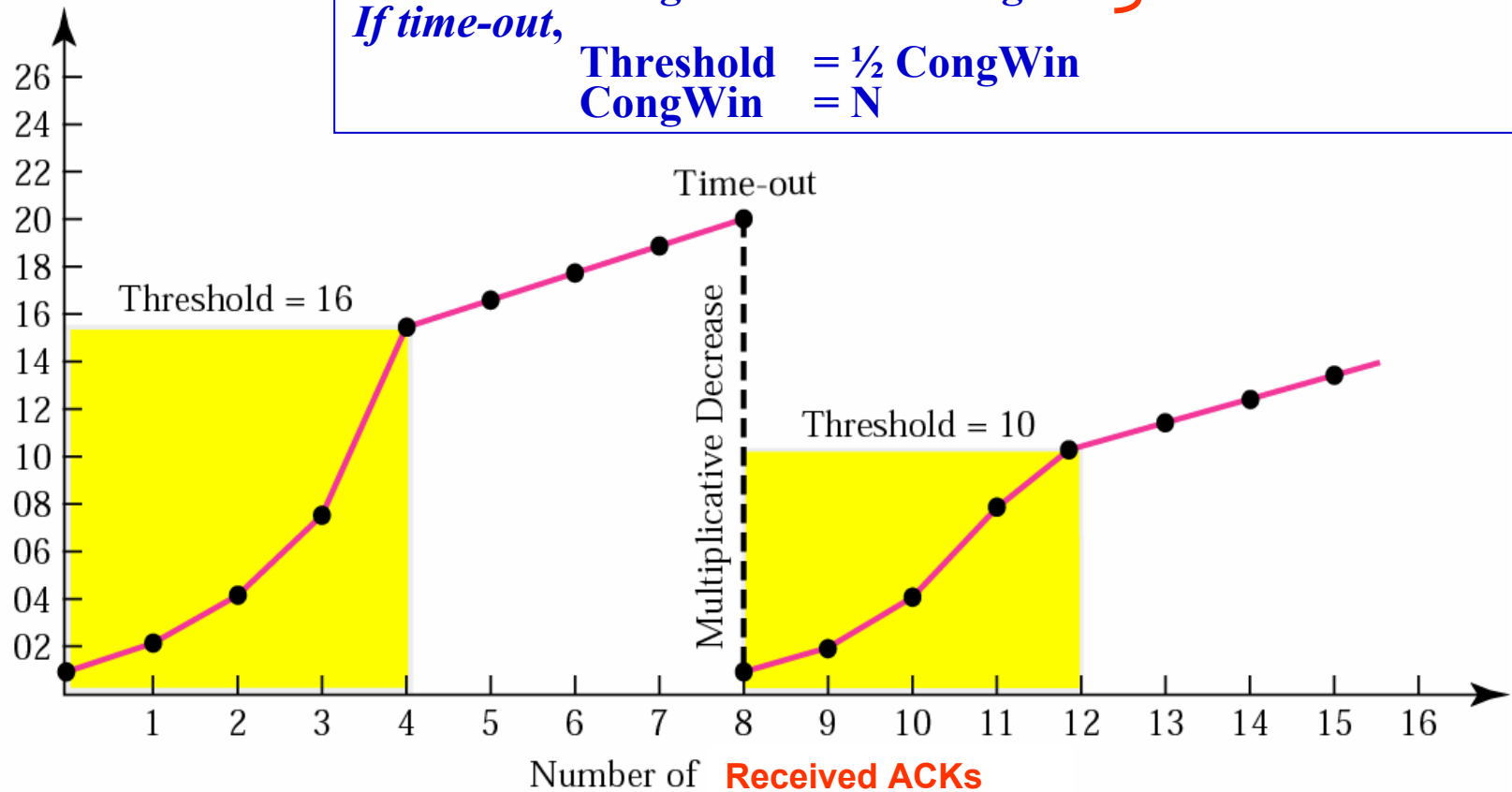
**CongWin** =  $1 +$  CongWin

}  $\leq$  RecvWin

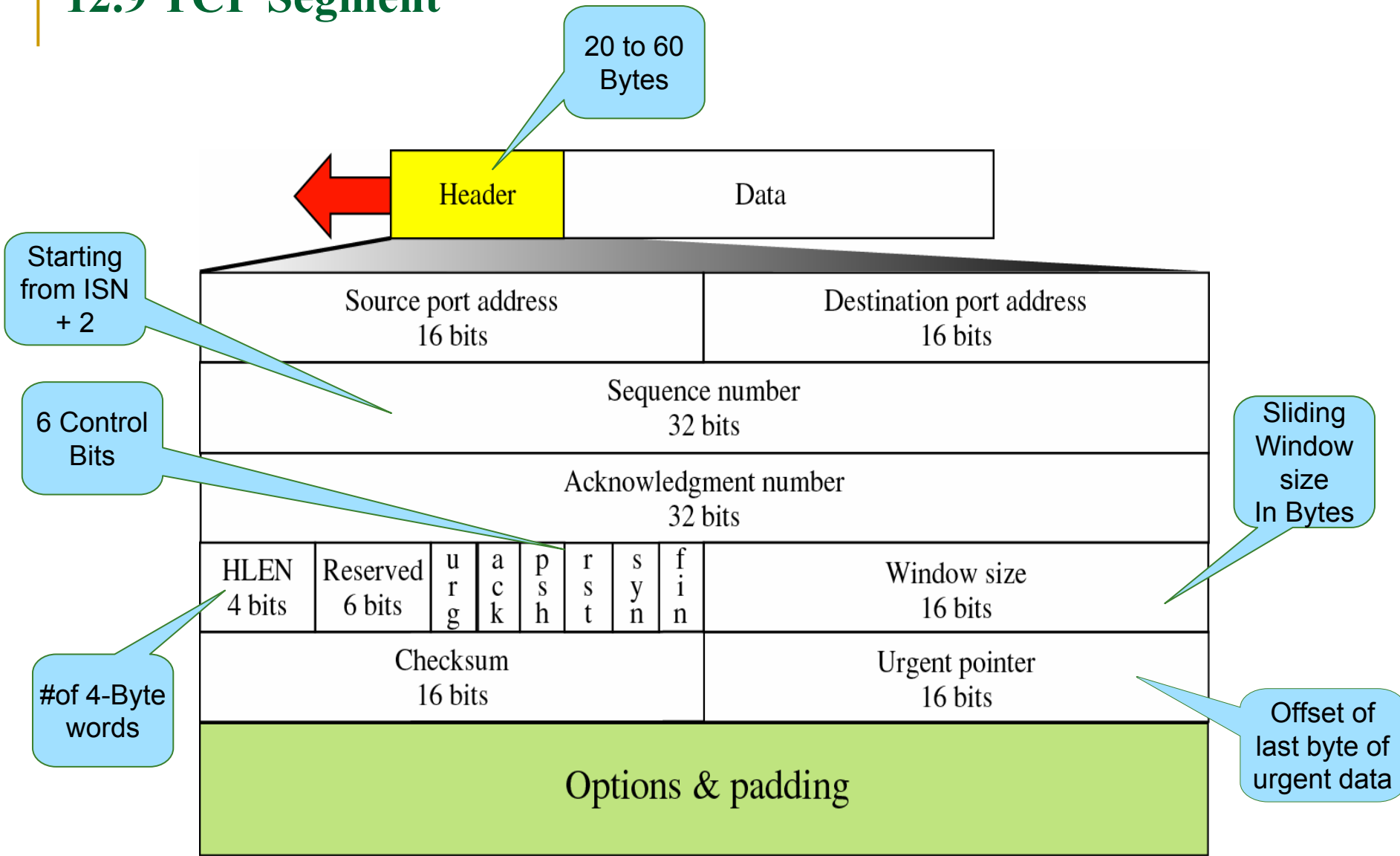
*If time-out,*

**Threshold** =  $\frac{1}{2}$  CongWin

**CongWin** = N



# 12.9 TCP Segment



# Control Fields

- Enable flow control, connection establishment & termination, and mode of data transfer.
- One or more bits may be set at the same time
- Discussed in detail later

URG: Urgent pointer is valid

ACK: Acknowledgment is valid

PSH: Request for push

RST: Reset the connection

SYN: Synchronize sequence numbers

FIN: Terminate the connection

URG

ACK

PSH

RST

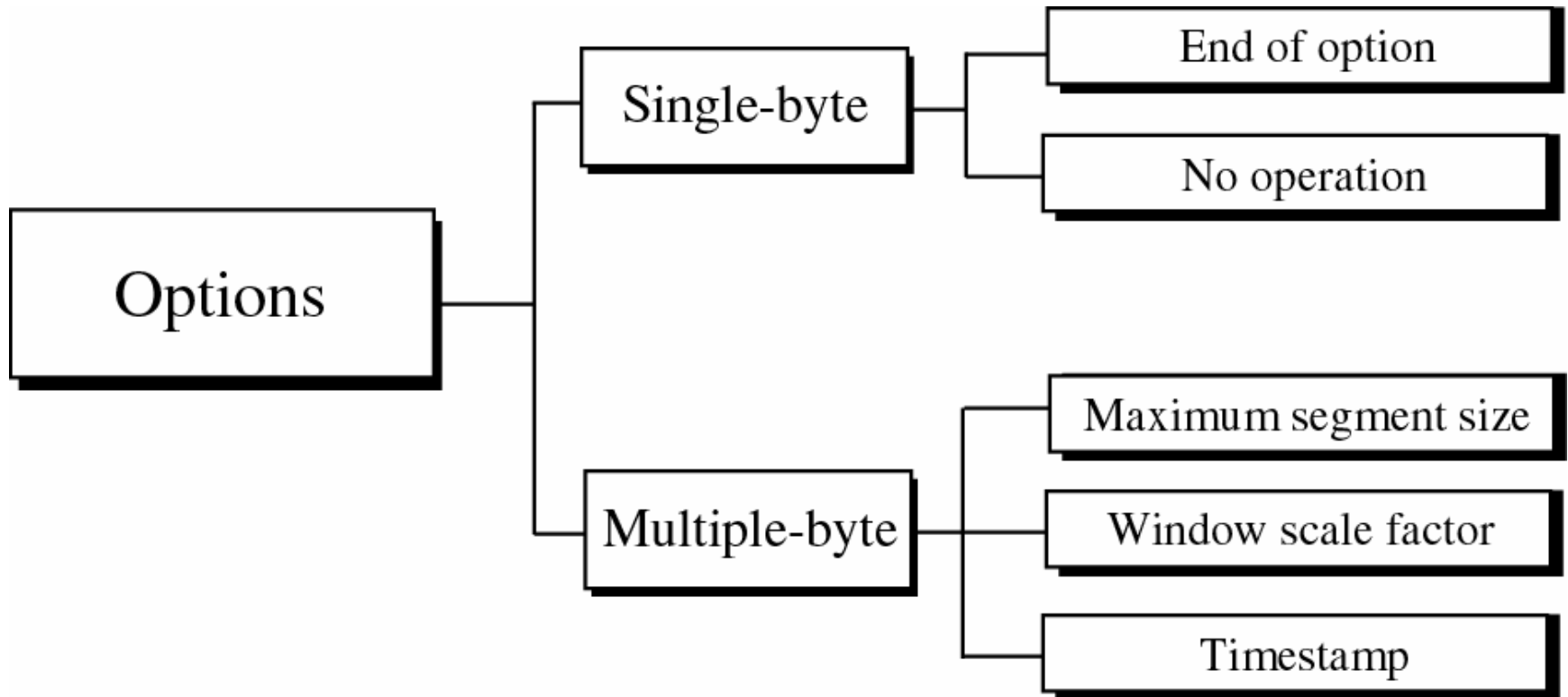
SYN

FIN



## 12.10 Options

- Up to 40 bytes of optional information to the destination

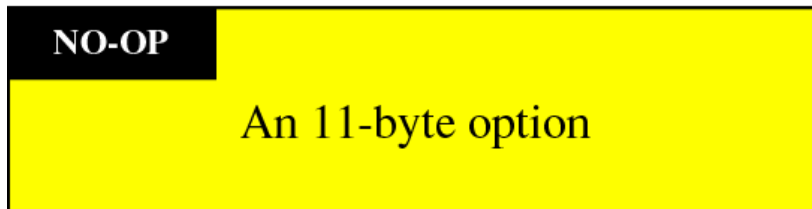


# No-operation Option

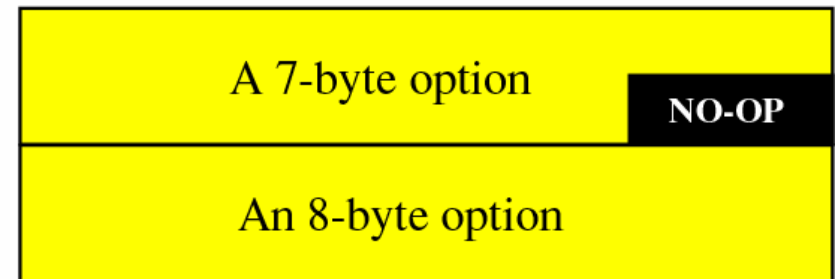
- A Filler option used for alignment purposes only.

Code: 1  
00000001

a. No operation option



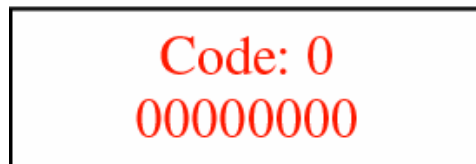
b. Used to align beginning of an option



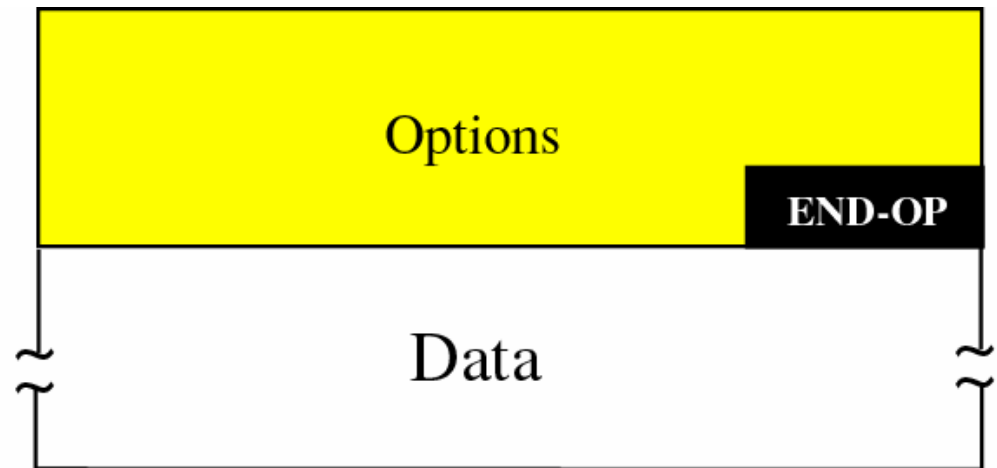
c. Used to align the next option

## End-of-option Option

- Used as a padding to terminate options. Only ONE may be present.
- The remainder of this 32-bit word is garbage
  - Payload data starts at the next 32-bit word.



a. End of option



b. Used for padding

## Maximum segment size (MSS) Option

Code: 2 00000010	Length: 4 00000100	Maximum segment size
1 byte	1 byte	2 bytes

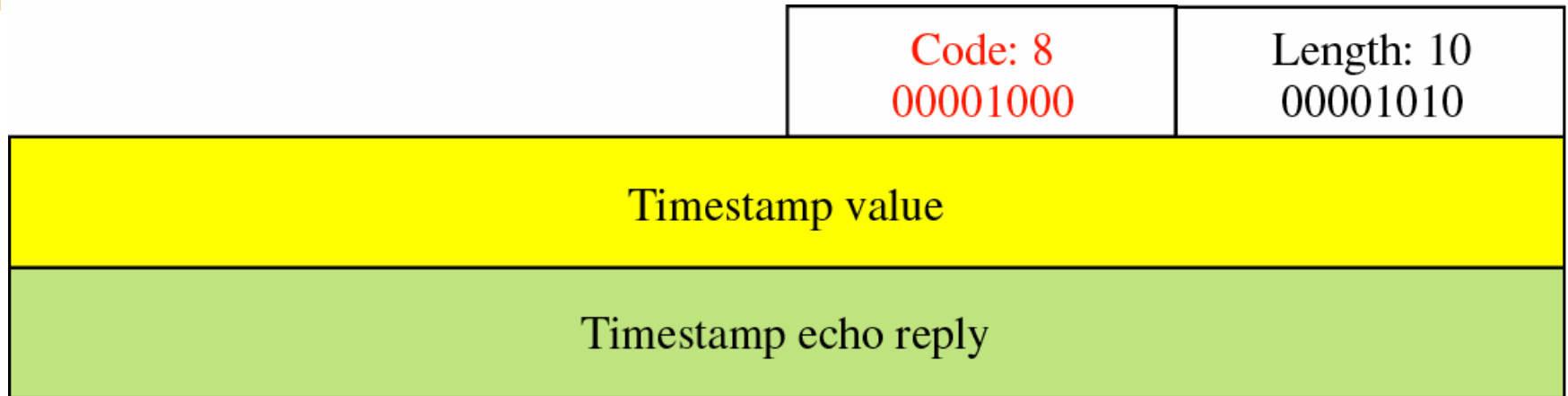
- The maximum number of data bytes I can receive in one segment
- Each party determines its MSS during connection establishment
  - Cannot be changed later.
- Defaults to 536 bytes

## Window scale factor Option

Code: 3 00000011	Length: 3 00000011	Scale factor
1 byte	1 byte	1 byte

- The 16-bit Window Size in the header is too small for high-speed links (e.g. OC-24 @ 1.2Gbps)
- Actual Sender Window size = WinSize in header  $\times 2^{\text{WinScaleFactor}}$
- WinScaleFactor  $\leq 16$
- This option may only appear during connection establishment.
  - Header.WinSize may change, but WinScaleFactor is constant throughout the entire connection

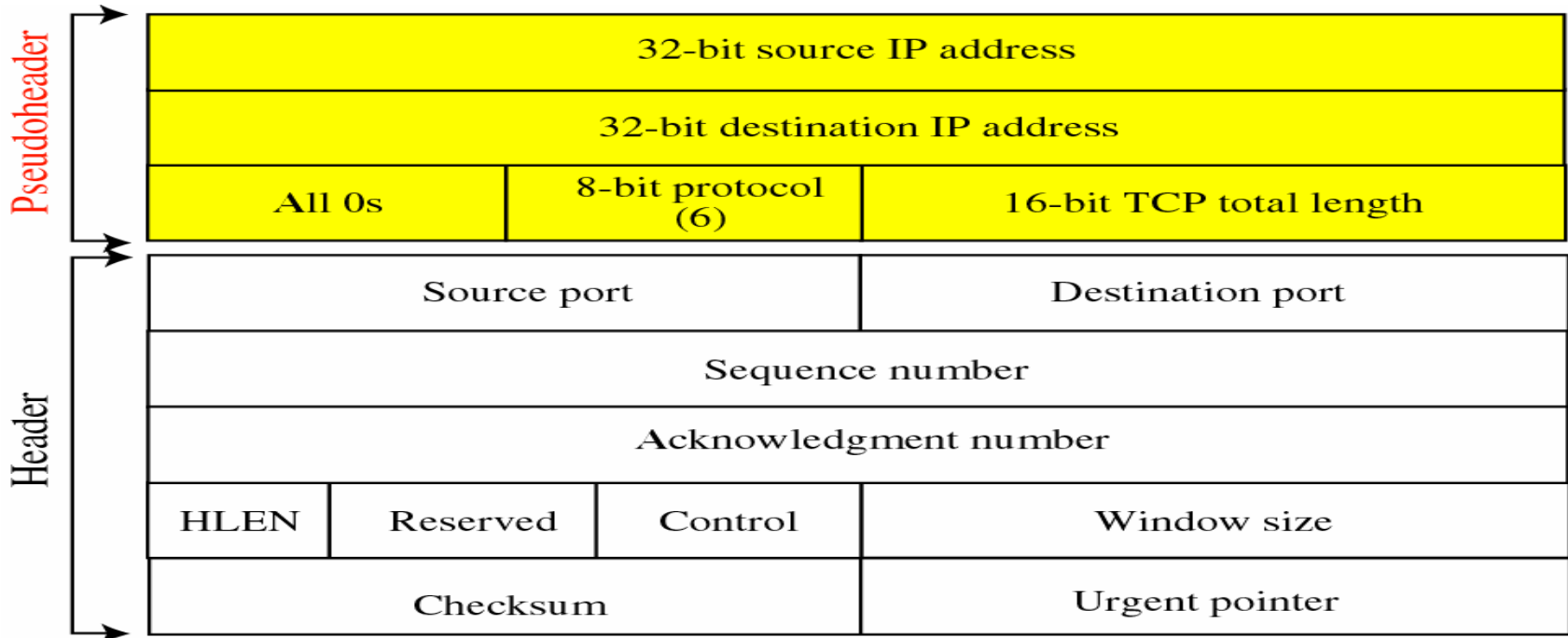
## Timestamp Option



- Set by the sender just before the segment leaves (to IP layer)
- Echoed by the receiver just before it ACKs the segment
- The sender measure RTT as:  
the arrival time of the ACK - the timestamp echo reply.

## 12.11 Checksum

- Same as in UDP, but mandatory



# Data and Option

(Padding must be added to make the data a multiple of 16-bits)

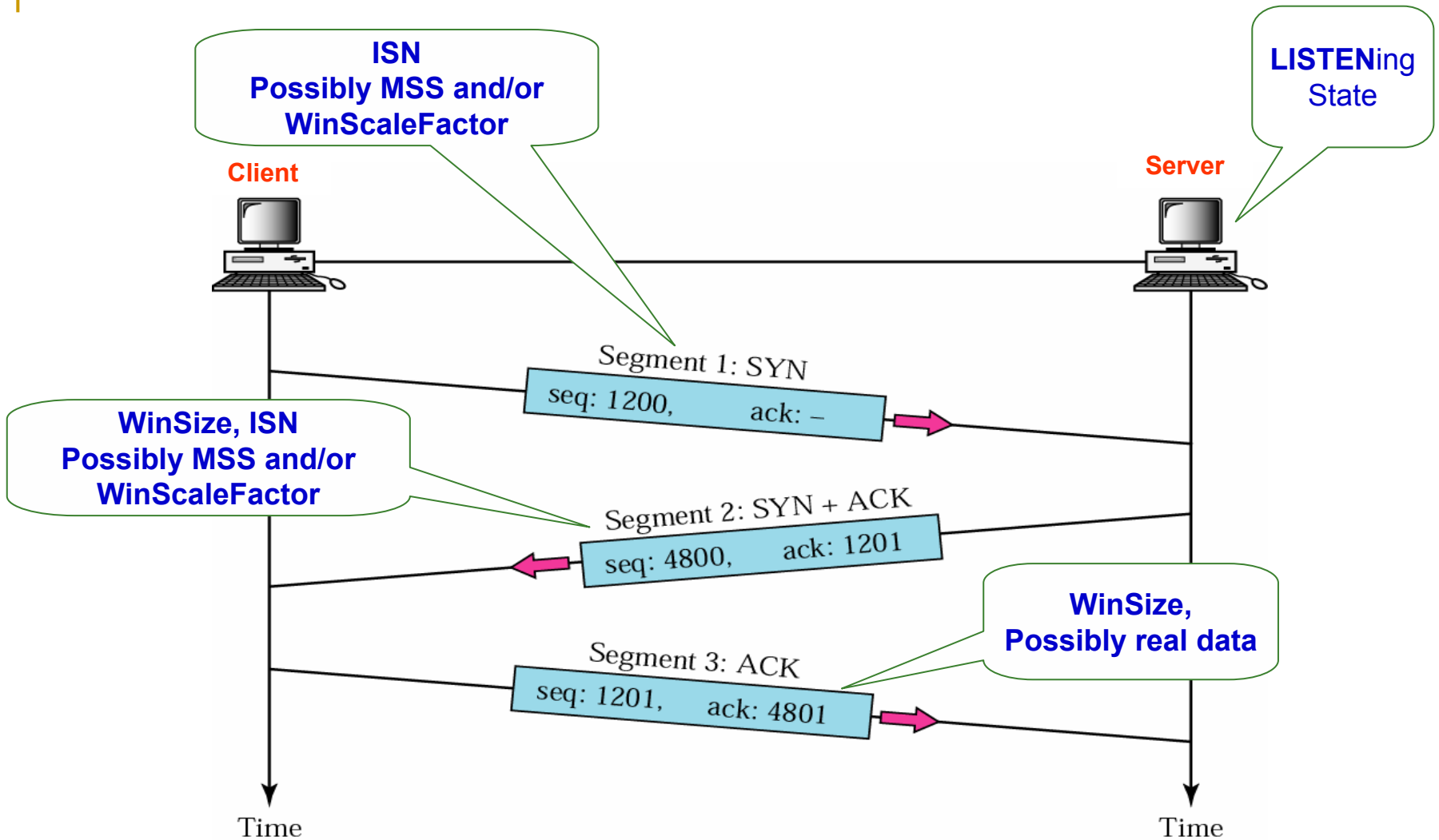
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## 12.12 Connection

- Connections must be **established** to facilitate acknowledgements, and retransmissions.
- Connections are **terminated** at the end.
- A connection may be **reset** at any time in one of these 3 cases:
  1. A destination rejects a connection requested for a nonexistent port
  2. One party encounters abnormal situation, so it resets the connection
  3. One party detects that the other party has been idle for too long.



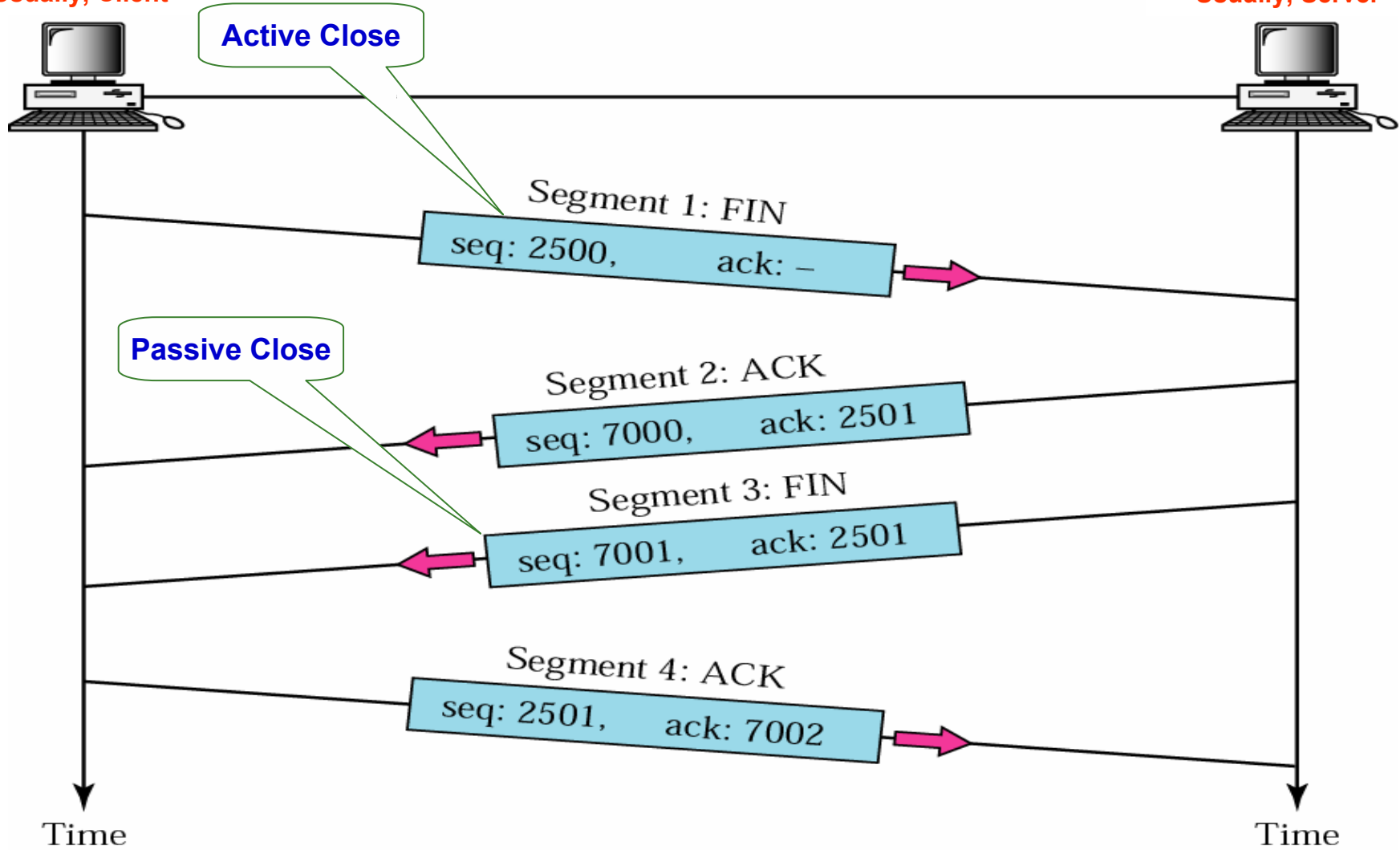
# Connection Establishment: A 3-way Handshaking



# Connection Termination: A 4-way handshaking

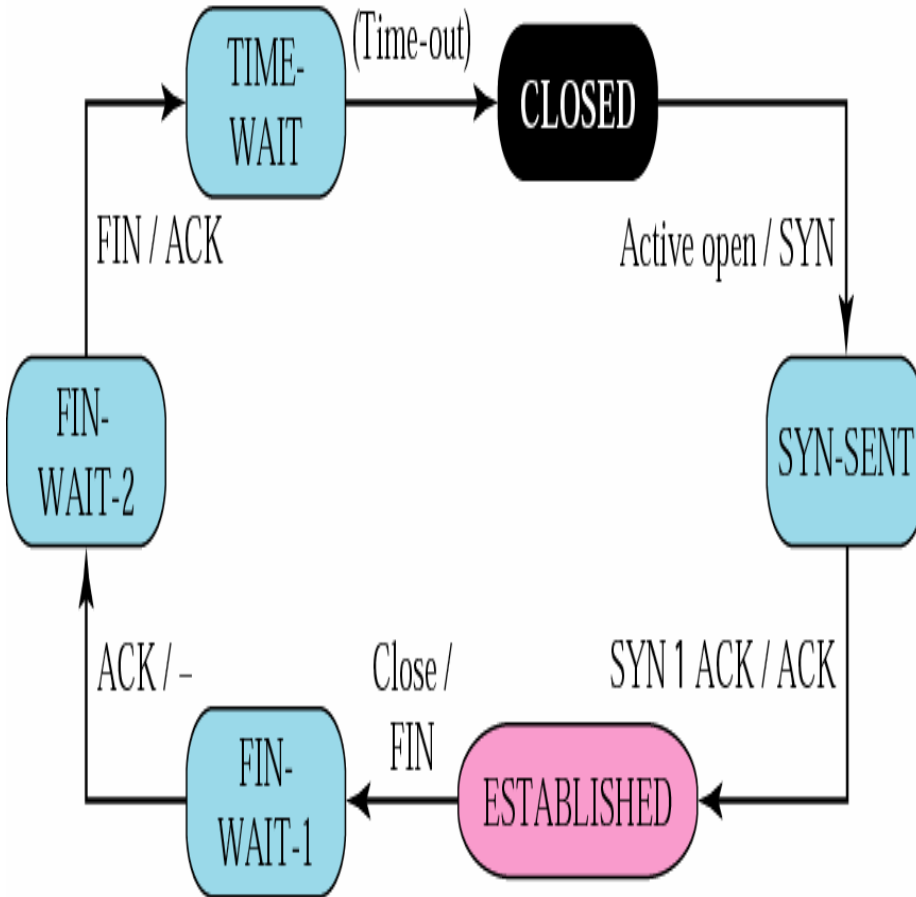
Usually, Client

Usually, Server

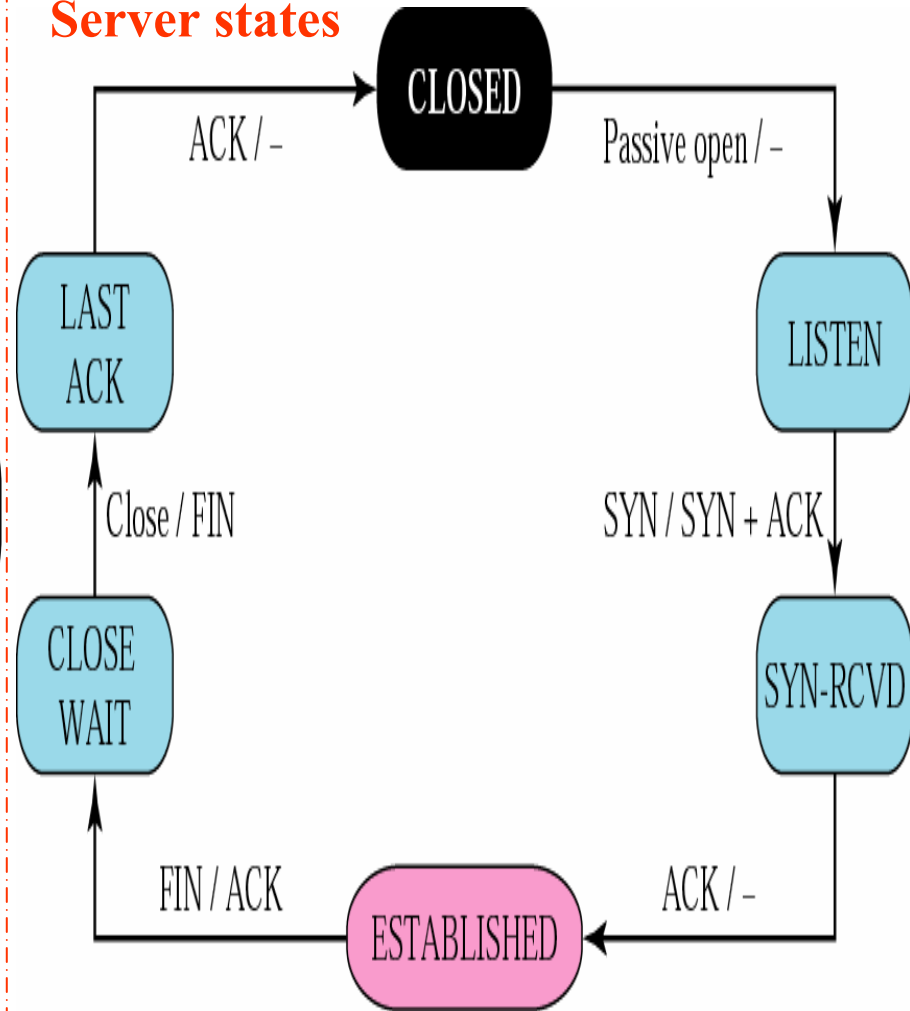


# 12.13 TCP FSM: State Transition Diagram

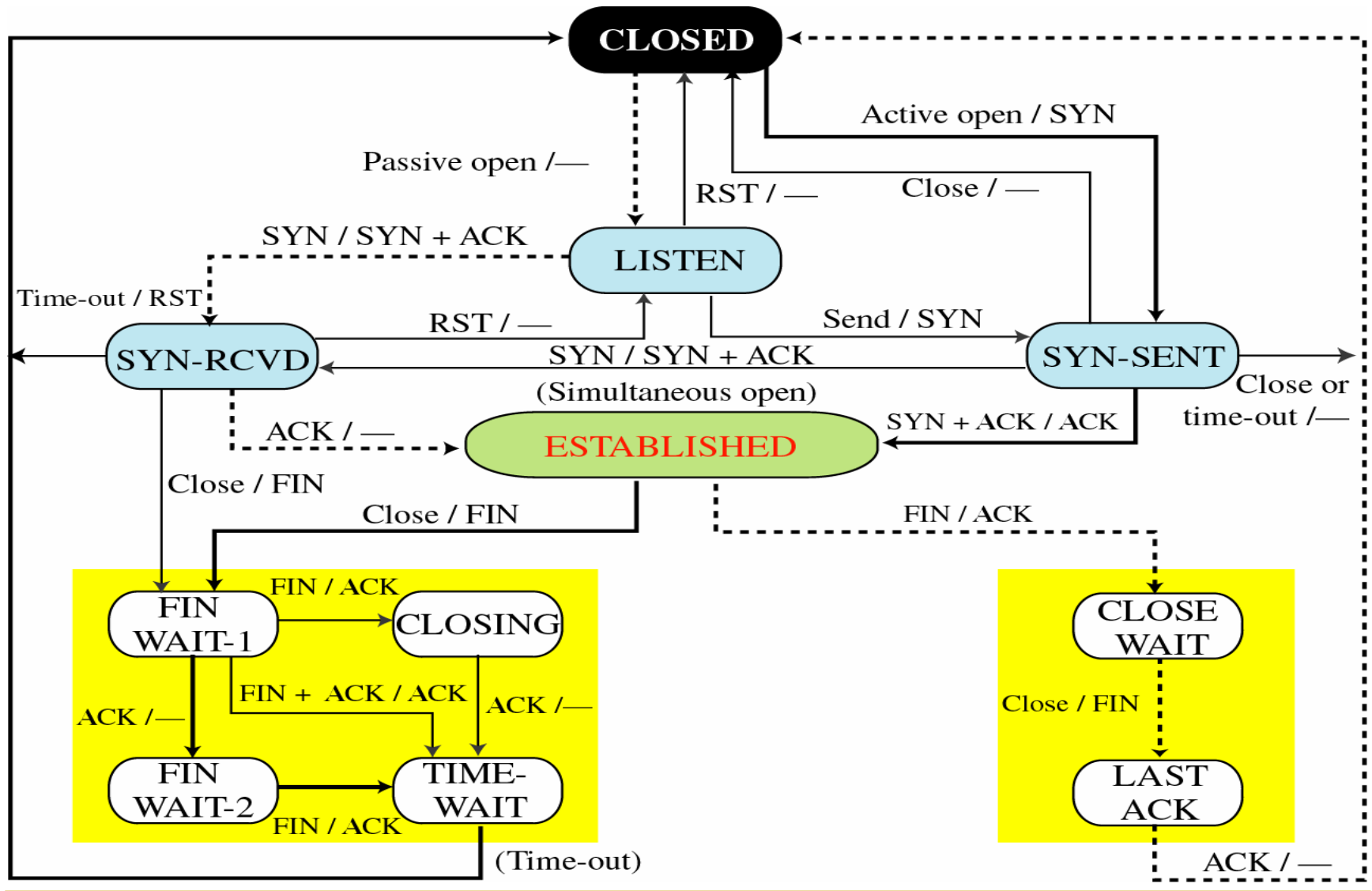
## Client states



## Server states



# Complete State Diagram

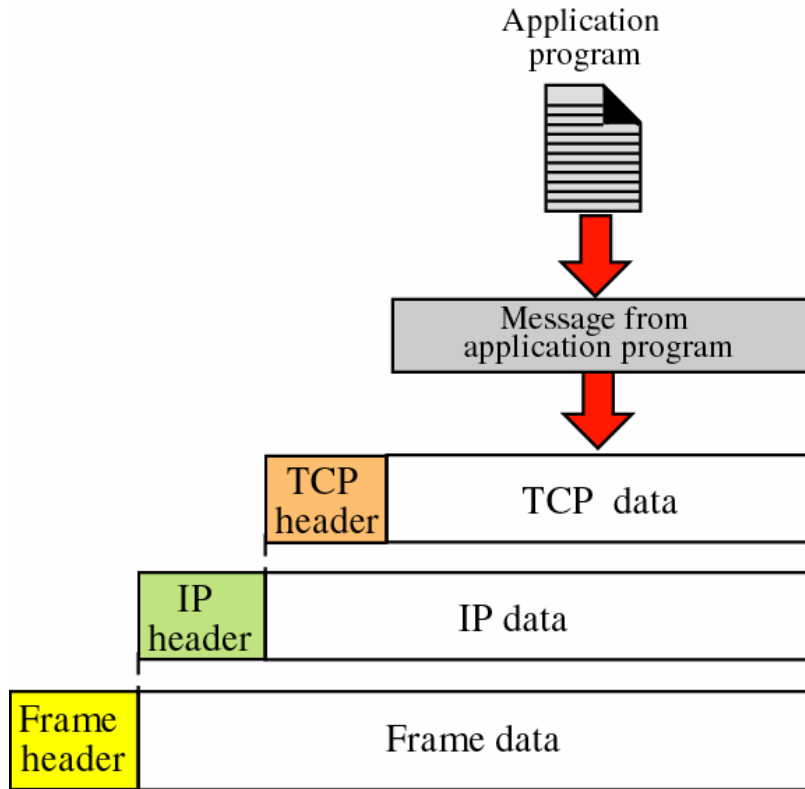


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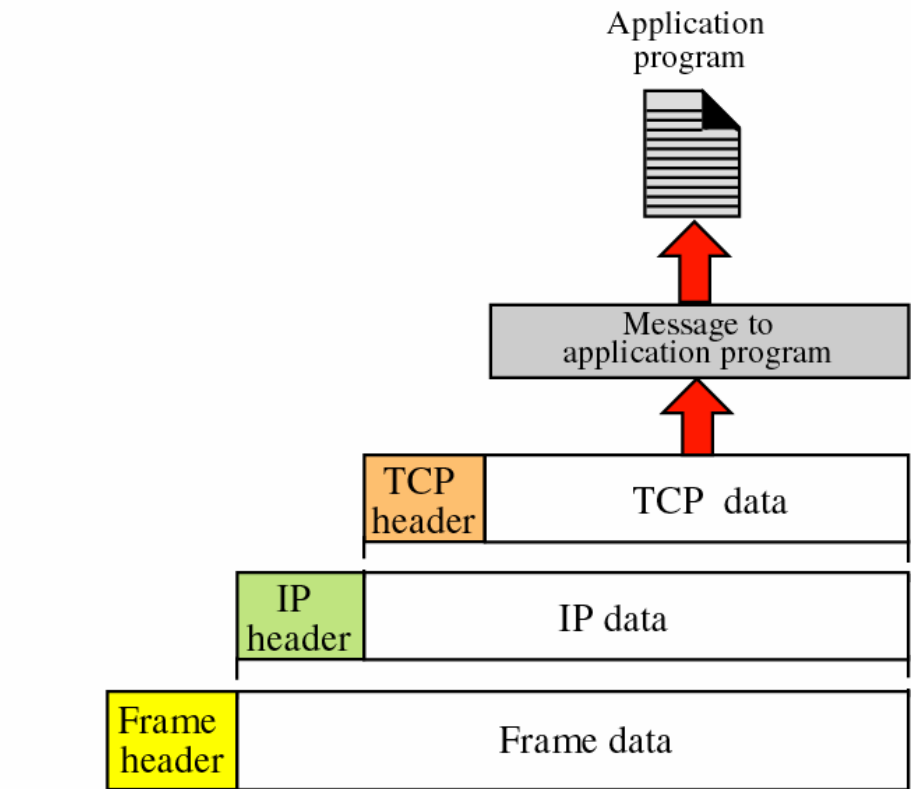
## 12.14 TCP Operation

- Encapsulation and decapsulation
- Buffering
- Multiplexing and demultiplexing
- Pushing Data
  - Send NOW, deliver to server application ASAP
- Urgent Data
  - Delivered to other application out-of-order

# Encapsulation and decapsulation

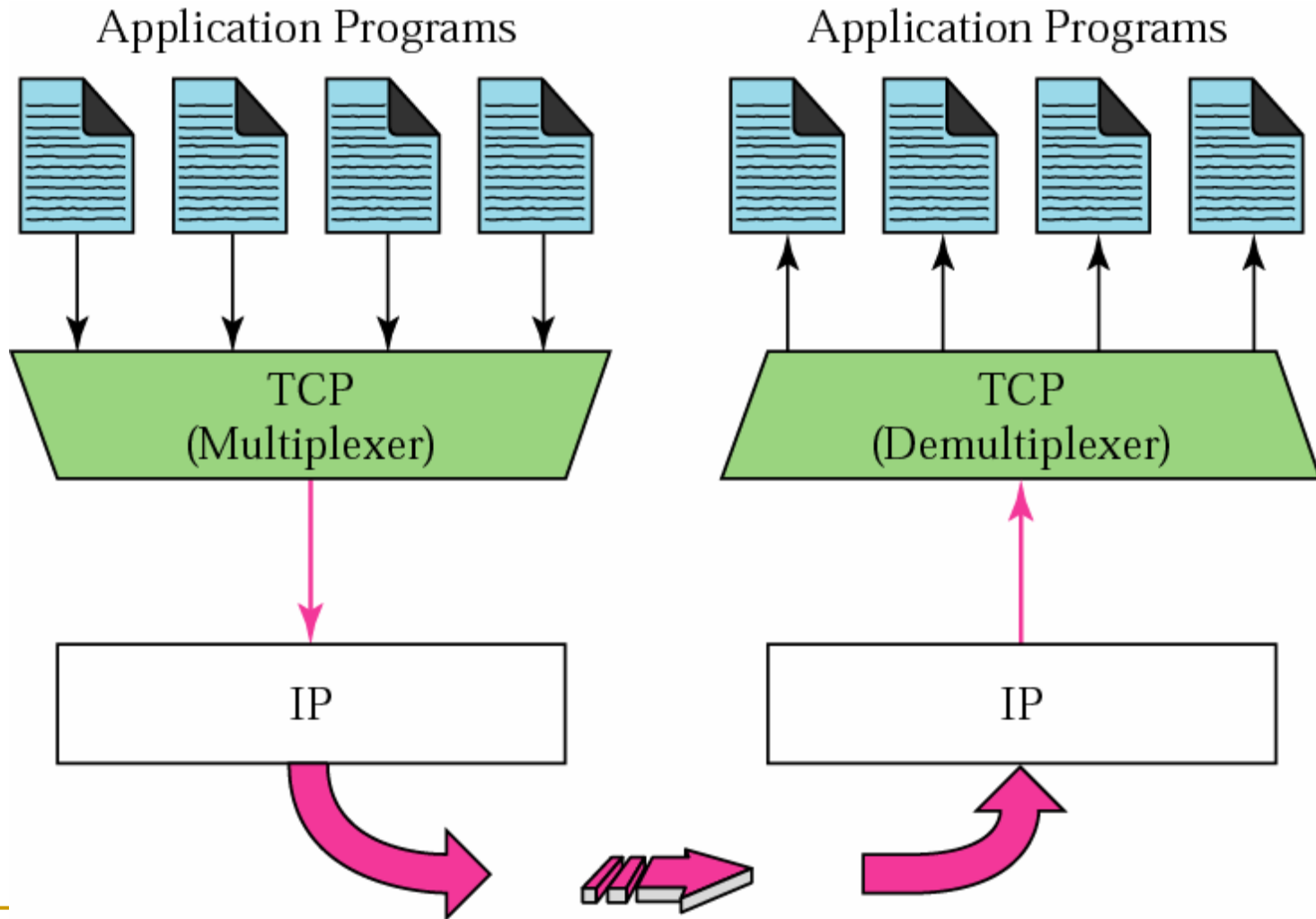


a. Encapsulation

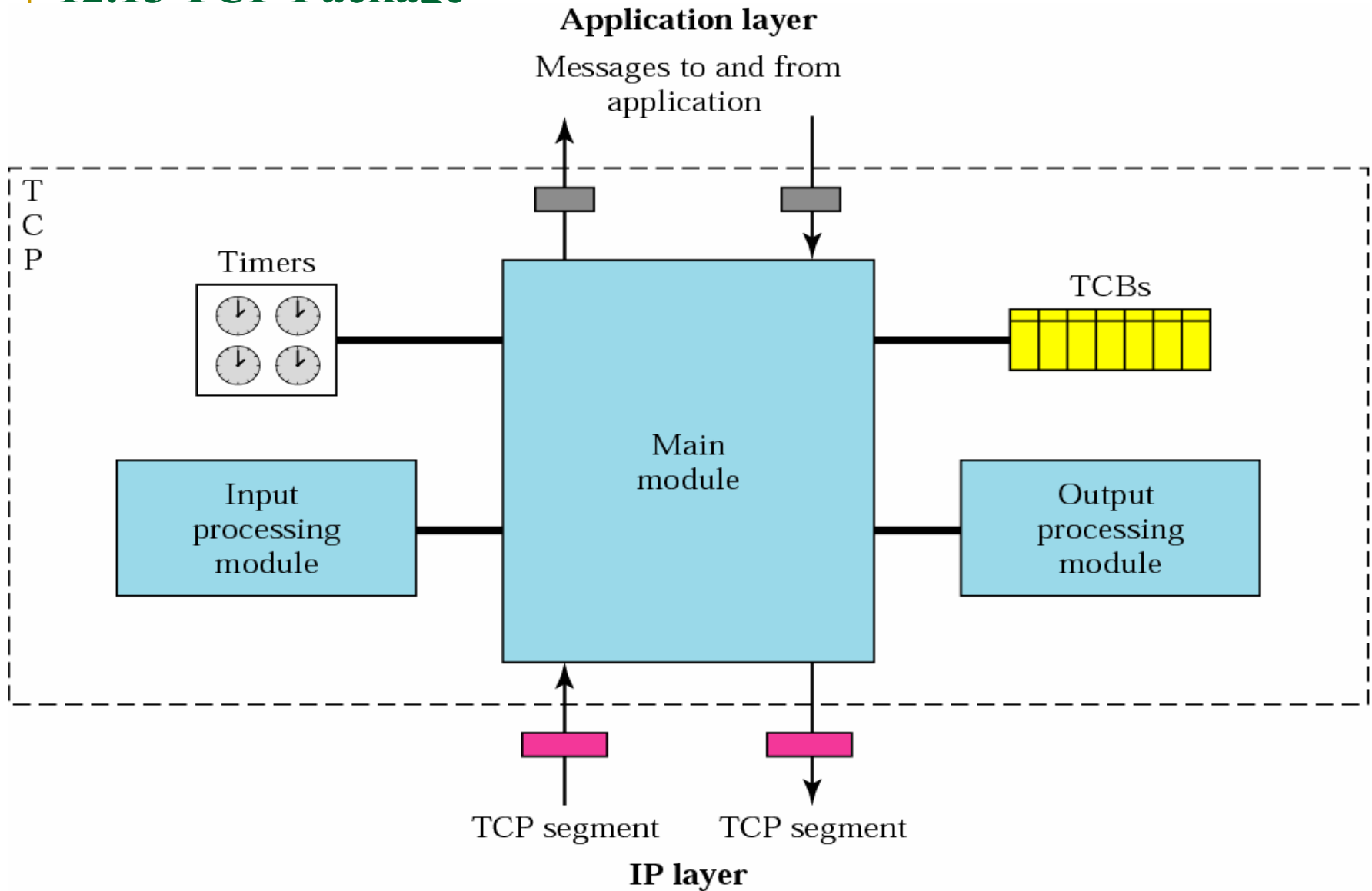


b. Decapsulation

# Multiplexing and demultiplexing



## 12.15 TCP Package





# Transmission Control Blocks

